



North East and North Cumbria

Our Reference North East & North Cumbria
ICB FOI 249

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22 March 2023

By Email

Dear

Freedom of Information Act 2000 - Request for Information – NHS North East & North Cumbria Integrated Care Board.

Thank you for your request received by North of England Commissioning Support (NECS) on 22 February 2023 for information held by NHS North East and North Cumbria Integrated Care Board (the ICB) under the provisions of the Freedom of Information Act 2000.

For information Clinical Commissioning Groups ceased to exist on 30 June 2022. Services that were previously delivered by the CCG are now being delivered by the successor organisation NHS North East and North Cumbria (the ICB). This covers the areas of County Durham, Newcastle Gateshead, North Cumbria, North Tyneside, Northumberland, South Tyneside, Sunderland and Tees Valley.

Please find the information you requested on behalf of the ICB as follows.

Your Request

Please can you provide me with the following Information under the Freedom of Information Act.

1. Whether you use a 'tool' to calculate the funding split for Joint Packages of Care - in this case a tool is defined as a method of apportioning costs between the NHS and Local Authority for individuals who are not eligible for CHC and require a joint package of Care to meet their needs.

2. The name of the Tool used
3. Whether the Tool used has been approved for use by NHS England and where approval has been given a copy of the letter or email giving approval
4. What Tool (see point 1) ICB partners use - By ICB partners I mean in this case all Local authorities responsible for Adult Social Care covered by the ICB geography.
5. The average percentage that the ICB pays per package of care and the average Cost
6. The total annual cost of Joint packages of Care for the years 18/19, 19/20, 20/21, 21/22 and a YTD/forecast outturn for 22/23

Our Response

County Durham place:

1 - *This process is not used in Durham/Darlington*

2 – *N/A*

3 – *N/A*

4 – *N/A*

5 – *Please see the Exemption section below*

6 – *Please see below*

18/19 = £16,976,664.97

19/20 = £22,527,556.92

20/21 = £26,973,309.63

21/22 = £30,123,903.43

22/23 = £33,874,778.62

Newcastle/Gateshead place:

1 - *No tool used – Each case considered individually.*

2 – *N/A*

3 – *N/A*

4 – *Unknown*

5 – *58.78% and £928.29*

6 – *Please see below*

18/19 – £21,666,890.85

19/20 – £25,961,547.53

20/21 – £26,817,442.12

21/22 – £28,541,664.85

22/23 – £30,209,314.42

North Cumbria place:

1 - *This process is not used in North Cumbria*

2 – N/A

3 – N/A

4 – N/A

5 – *Please see the Exemption section below*

6 – *Please see below*

18/19 = £22,312.15

19/20 = £75,603.36

20/21 = £230,269.56

21/22 = £394,935.35

22/23 = £270,993.04

North Tyneside place:

1 - *MDT complete a care plan which breaks down the health and social needs, frequency of interventions, how long it takes to complete the intervention and who or how much care and support is required to meet the intervention. This is presented to a joint ICB and LA panel. The health needs which cannot be supported by NHS commissioned services identified on the care plan are considered and discussed. Once this is established the provider cost to deliver the intervention is apportioned to the ICB.*

2 – N/A

3 – N/A

4 – N/A

5 – *average percentage 25%, average cost £400 for health element*

6 – *Please see below*

18/19, £1,996,946

19/20, £1,194,924

20/21, £856,062

21/22 £670,44

22/23 £433,986 YTD/forecast outturn

Northumberland place:

1 - *No. The split of funding is determined in each case by agreement between the LA and the ICB*

2 – N/A

3 – N/A

4 - *The local authority in Northumberland does not use a tool.*

5 – *The current average percentage ICB contribution to joint packages is 47%; the average weekly cost is £611.43*

6 – *Please see below*

18/19 - £1,491,116

19/20 - £1,141,668

20/21 - £807,839

21/22 - £748,984

22/23 - £924,515 – *based on commitments as at 01/03/2023*

South Tyneside place:

1 - *The ICB does not use a tool to apportion costs*

2 – N/A

3 – N/A

4 – N/A

5 – *Average percentage based on 22/23 is 38%, Average Cost based on 22/23 is £54,051*

6 – *Please see below*

18/19 £28,890

19/20 £126,336

20/21 £186,293

21/22 £103,898

YTD 22/23 (as at M11) £99,094

Sunderland place:

1 - *Only in very exceptional circumstances where it is difficult to extrapolate health and social care needs and is used to support professional judgment rather than replace it.*

2 - *Joint Funding Tool*

3 - It was circulated by NHS England as a supportive tool but this was some time ago and therefore I do not have the status of the 'approval'

4 – Unknown

5 and 6 – Please see below

1. The total annual cost of Joint packages of Care for the years 18/19, 19/20, 20/21, 21/22 and a YTD/forecast outturn for 22/23					
	2018/19	2019/20	2020/21	2021/22	2022/23
					Projected at P10
S117 - ICB cost	7,379,843.11	8,932,808.55	9,577,865.30	10,295,437.59	12,534,416.61
CHC Joint Packages - ICB Cost	346,055.37	818,134.83	861,422.46	1,125,052.30	1,274,391.30
LD Pool - ICB Cost	1,139,763.00	1,158,452.00	1,158,452.00	1,158,456.00	1,158,456.00
Total - ICB	8,865,661.48	10,909,395.38	11,597,739.76	12,578,945.89	14,967,263.91
S117 - LA Cost	7,379,843.11	8,932,808.55	9,577,865.30	10,295,437.59	12,534,416.61
CHC Joint Packages - LA Cost	429,975.15	921,007.01	992,006.06	1,480,342.74	1,711,779.83
LD Pool - LA Cost	731,155.00	743,144.00	743,144.00	743,146.00	743,146.00
Total - LA	8,540,973.26	10,596,959.56	11,313,015.36	12,518,926.33	14,989,342.44
Total Joint Packages	17,406,634.74	21,506,354.94	22,910,755.13	25,097,872.22	29,956,606.35

Tees Valley place:

1 - This process is not used in Tees Valley

2 – N/A

3 – N/A

4 – N/A

5 – Please see the Exemption section below

6 – Please see below

18/19 = £33,616,620.64

19/20 = £37,666,636.00

20/21 = £40,449,786.66

21/22 = £43,980,765.19

22/23 = £47,172,797.59

Exemption

With Regard to question 5 for County Durham, North Cumbria and Tees Valley places; NHS North East and North Cumbria Integrated Care Board does hold this information.

There is no average cost each case is apportioned a % split based on individual services. The systems we use does not run reports on average % split and to complete this a manually would be a time excessive job based on the dates given. We only fund identified health needs above and beyond mainstream NHS services. To get the % we would need to go through each individual care package and work a % out, which will be time consuming due to the volume of patients we have.

The ICB estimates that the manual exercise to retrieve this information would exceed the appropriate limit set out in section 12(1) (cost limit) of the Freedom of Information Act 2000. Currently this is set at £450 for the ICB, which equates to 18 hours or more of an officer's time.

Please note that we estimate the following breakdown. It would take on average 7 minutes to go through and check each individual care package.

County Durham: 1405 x 7 = 9835 (163.91hrs) at a cost of £4,097.50.

North Cumbria 34 x 7 = 238 (3.97 hrs) at a cost of £99.25.

Tees Valley: 2345 x 7 = 16415 (273.58hrs) at a cost of £6,840.

Under the Section 16 duty to provide advice and assistance, the ICB may be able to comply with a refined request. For example, you could reduce the focus or scope of the request to a narrower timeframe or to a specific type of care package.

Please note that these are only suggestions of what the ICB would be able to provide and not categorically what they can provide within the prescribed cost limit.

You will need to resubmit any refined request via necsu.icbfoi@nhs.net

In line with the Information Commissioner's directive on the disclosure of information under the Freedom of Information Act 2000 your request will form part of our disclosure log. Therefore, a version of our response which will protect your anonymity will be posted on the NHS ICB website <https://northeastnorthcumbria.nhs.uk/>.

If you have any queries or wish to discuss the information supplied, please do not hesitate to contact me on the above telephone number or at the above address.

If you are unhappy with the service you have received in relation to your request and wish to make a complaint or request a review of our decision, you should write to the Senior Governance Manager using the contact details at the top of this letter quoting the appropriate reference number.

If you are not content with the outcome your complaint, you may apply directly to the Information Commissioner for a decision. Generally, the Information Commissioner cannot make a decision unless you have exhausted the complaints procedure provided by The North of England Commissioning Support Unit.

The Information Commissioner can be contacted at:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF

www.ico.gov.uk

Any information we provide following your request under the Freedom of Information Act will not confer an automatic right for you to re-use that information, for example to publish it. If you wish to re-use the information that we provide and you do not specify this in your initial application for information then you must make a further request for its re-use as per the Re-Use of Public Sector Information Regulations 2015 www.legislation.gov.uk . This will not affect your initial information request.

Yours sincerely

Pamela Coxon

Pamela Coxon
Information Governance Officer