



# North East and North Cumbria

Our Reference North East and North Cumbria  
ICB\FOI ICB663

NECS – John Snow House  
Durham University Science Park  
Durham  
DH1 3YG

Tel: 0191 301 1300  
E-mail: [necsu.icbfoi@nhs.net](mailto:necsu.icbfoi@nhs.net)

09 January 2024

By Email

Dear Applicant

## **Freedom of Information Act 2000 – Request for Information – NHS North East and North Cumbria Integrated Care Board (NENC ICB)**

Thank you for your request received by North of England Commissioning Support (NECS) on 08 December 2023 for information held by NHS North East and North Cumbria Integrated Care Board (NENC ICB) under the provisions of the Freedom of Information Act 2000.

NENC ICB covers the areas of County Durham, Gateshead, Newcastle, North Cumbria, North Tyneside, Northumberland, South Tyneside, Sunderland, and Tees Valley (which covers the 5 councils that make it up – Darlington, Hartlepool, Middlesbrough, Redcar & Cleveland and Stockton-on-Tees).

Please find the information you requested on behalf of the ICB as follows.

### **Your Request and Our Response**

We can confirm, as per Section 1(1) of the Freedom of Information Act 2000, the ICB holds some of the information you have requested. However, some of the information you have requested can be found on the NHS Digital website at <https://digital.nhs.uk/data-and-information/publications/statistical/personal-health-budgets>

In accordance with s.21 of the FOIA, we are not required to provide information in response to a request if it is reasonably accessible to you by other means.

1. Are you partnering with any other private or public sector organisations to deliver your Personal Health Budgets (PHBs)? If so, please provide the names of those organisations and a summary of the services they provide?

*Yes: North Cumbria, Northumberland, North Tyneside, Gateshead, Newcastle Sunderland, South Tyneside, Durham, Darlington, Stockton, Redcar and Cleveland, Middlesbrough and Hartlepool. All are local authorities providing the usual range of services for their citizens.*

2. Who is responsible for the delivery of the PHB targets (e.g. PHB Lead) and what are their contact details (name, email and phone number)?

*David Purdue  
Executive Chief Nurse  
[david.purdue@nhs.net](mailto:david.purdue@nhs.net)  
0191 5128474*

3. Who is responsible for the delivery of the Continuing Healthcare programme and what are their contact details (name, email and phone number)?

*David Purdue  
Executive Chief Nurse  
[david.purdue@nhs.net](mailto:david.purdue@nhs.net)  
0191 5128474*

4. Who is the Personalised Care or Personalisation Lead/Personalised Care Operations Lead at the ICB and what are their contact details ((name, email and phone number)?

*David Purdue  
Executive Chief Nurse  
[david.purdue@nhs.net](mailto:david.purdue@nhs.net)  
0191 5128474*

5. Who is the Integrated Care Lead at the ICB and what are their contact details (name, email and phone number)?

*David Purdue  
Executive Chief Nurse  
[david.purdue@nhs.net](mailto:david.purdue@nhs.net)  
0191 5128474*

6. Who is responsible for improving faster hospital discharges at the ICB and what are their contact details (name, email and phone number)?

*David Purdue  
Executive Chief Nurse  
[david.purdue@nhs.net](mailto:david.purdue@nhs.net)  
0191 5128474*

7. Who is the Urgent & Emergency Care Lead at the ICB and what are their contact details (name, email and phone number)?

Dr Neil O'Brien  
Executive Medical Director  
[neilobrien@nhs.net](mailto:neilobrien@nhs.net)  
0191 5128484

8. Who is responsible for the digital transformation within the ICB and what are their contact details (name, email and phone number)?

Professor Graham Evans  
Executive Chief Digital and Information Officer/SIRO  
[dr.gevans@nhs.net](mailto:dr.gevans@nhs.net)  
0191 5128484

9. Who is the current Director of Finance within the ICB and what are their contact details (name, email and phone number)?

David Chandler  
Executive Director of Finance  
[david.chandler2@nhs.net](mailto:david.chandler2@nhs.net)  
0191 5128484

10. How many people in your ICB have been awarded a Continuing Healthcare budget and how many of these have taken up a PHB?

*From Q2 data, cumulatively, there are 6653 people with a PHB year to date.*

11. Excluding wheelchair budgets, how many PHBs have been awarded in the last 12 months and what is the average PHB budget value?

*Looking at new PHBs started in the Quarter, from Q3 2022/23 to Q2 23/24 (last available published data date date) the number of PHBs started from end Dec 2022 to up to end September 2023 was 5953.*

*The ICB average cost based on reported data is £26,137.00 per annum, £502.64 per week.*

*This excludes Wheelchair Data as requested.*

*This also excludes Children's Data as we do not hold this data.*

12. What was total annual spend on PHBs for your ICB and how does that spend break down by Direct Payment, 3rd party managed and notional budgets?

*We do not break down the spend in this way. However, of the cumulative data, 1084 was delivered as a Direct payment, 150 was delivered as a third-party budget and 5652 was delivered as a notional budget.*

ICB Place	Direct Payment	3 <sup>rd</sup> Party	Notional Budget	Grand Total
North Cumbria	£3,170,494	£2,430,337	£2,498,856	<b>£8,099,687</b>

Newcastle Gateshead	£9,982,714	£0	£40,342,210	<b>£50,324,924</b>
Tees Valley	£10,025,075	£2,810	£11,830,287	<b>£58,424,611</b>
County Durham	£3,234,761	£0	£5,498,528	<b>£8,733,289</b>
Northumberland	£7,127,322	£0	£12,135,433	<b>£19,262,755</b>
North Tyneside	£2,913,036	£0	£5,680,429	<b>£27,996,044</b>
Sunderland	£2,675,000	£0	£4,464,735	<b>£7,139,735</b>
<b>Grand Total</b>	<b>£39,128,402</b>	<b>£2,433,147</b>	<b>£82,450,478</b>	<b>£179,981,045</b>

13. What software, if any, is used to manage PHBs and direct payments in your ICB?

*The software used is part of wider systems used by the local authorities and this information is held by them.*

14. What is the total annual cost for this software and what licensing model is used, e.g., X% of budget/per user/fixed cost?

*Costs are part of Local authority owned contracts where the LA undertakes this activity on our behalf and the system is used for wider activity than PHB therefore each Local Authority will hold this information. When is the contract for the PHB software up for renewal?*

*For the software used by Local Authorities this would need to be requested from the LA.*

15. If not in use already, are you considering digital automated services to assist with the delivery of services and budget management of your PHBs, personal budgets, direct payments and integrated personal budgets?

*This will be potentially considered during 2024/2025*

16. Do you use a Direct Payment Support Service (DPSS) to assist direct payment recipients and if so, please provide details of who this is?

*There isn't a contract in place but occasionally recipients are signposted to services e.g. Penderels Trust, Resource House, 1A Brandon Lane, Coventry, CV3 3GU*

17. Is the DPSS a contracted service and if so when does that contract expire?

*No*

18. What is the annual cost of the DPSS?

*This varies and is attributed to recipients based on the service the individual requires.*

In accordance with the Information Commissioner's directive on the disclosure of information under the Freedom of Information Act 2000 your request will form part of our

disclosure log. Therefore, a version of our response which will protect your anonymity will be posted on the NHS ICB website <https://northeastnorthcumbria.nhs.uk/>.

If you have any queries or wish to discuss the information supplied, please do not hesitate to contact me on the above telephone number or at the above address.

If you are unhappy with the service you have received in relation to your request and wish to request a review of our decision, you should write to the Senior Governance Manager using the contact details at the top of this letter quoting the appropriate reference number.

If you are not content with the outcome your review, you do have the right of complaint to the Information Commissioner as established by section 50 of the Freedom of Information Act 2000. Generally, the Information Commissioner cannot make a decision unless you have exhausted the complaints procedure provided by the North of England Commissioning Support Unit.

The Information Commissioner can be contacted at:

Information Commissioner's Office  
Wycliffe House  
Water Lane  
Wilmslow  
Cheshire  
SK9 5AF

[www.ico.org.uk](http://www.ico.org.uk)

Any information we provide following your request under the Freedom of Information Act will not confer an automatic right for you to re-use that information, for example to publish it. If you wish to re-use the information that we provide and you do not specify this in your initial application for information then you must make a further request for its re-use as per the Re-Use of Public Sector Information Regulations 2015 [www.legislation.gov.uk](http://www.legislation.gov.uk) . This will not affect your initial information request.

Yours sincerely

*Pamela Coxon*

**Pamela Coxon**  
**Information Governance Officer**